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| APPLICATION NO.            | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------|-------------|----------------------|---------------------|------------------|
| 10/588,116                 | 06/19/2007  | Chu Yong Cheng       | 03164.0205USWO      | 3811             |
| 23552                      | 7590        | 06/23/2009           | EXAMINER            |                  |
| MERCHANT & GOULD PC        |             |                      | STALDER, MELISSA A  |                  |
| P.O. BOX 2903              |             |                      | ART UNIT            | PAPER NUMBER     |
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                                      |                                     |  |
|------------------------------|--------------------------------------|-------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/588,116 | <b>Applicant(s)</b><br>CHENG ET AL. |  |
|                              | <b>Examiner</b><br>MELISSA STALDER   | <b>Art Unit</b><br>1793             |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-36 is/are pending in the application.  
     4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____.                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date ____.  | 6) <input type="checkbox"/> Other: ____.                          |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 6-10, 18- 20, 23- 26, and 28 are rejected under 35 U.S.C. 102(b) as being anticipated by Preston (GB 2,109,357). Preston teaches a process for the extraction of nickel or cobalt from an aqueous solution. These metals are selectively removed from impurities such as magnesium (the metals can be separated from each other – pg. 1, lines 8-12). The process in Preston uses carboxylic acid extractants with non-chelating oximes, preferably of aldehydes wherein the alpha-carbon atom is primary or secondary (abstract), such as 2-ethylhexanal oxime. Preston also teaches the presence of additives which greatly enhance the utility of extractants (kinetic accelerator) (pg. 1, lines 5-8). Preston teaches that it is known in the art to use tri-n-butyl phosphate (TBP) as a kinetic accelerator (pg. 2, table).

Regarding claim 2, Preston teaches a solvent extraction process with xylene (pg 2, initial test).

Regarding claim 3, Preston teaches the avoidance of the formation of cobalt (III) which is not amenable to conventional stripping techniques (pg. 1, lines 62-64). Cobalt (III) is formed from cobalt (II).

Regarding claim 4, Preston teaches a stripping step using a mineral acid solution (pg. 1, lines 64-65).

Regarding claim 6, Preston teaches steps for selective stripping of nickel or cobalt and nickel together (pg. 2, lines 1-4).

Regarding claims 7-10, Preston teaches that the extraction takes place extremely quickly - "of the order of a few minutes" (pg. 1, lines 58-62).

Regarding claim 18, Preston teaches that it is known in the prior art to use LIX63 which is a known chelating hydroxyoxime.

Regarding claim 19, Preston teaches that the extractant process is much faster making the stripping process also faster (pg. 1, lines 58-65).

Regarding claim 20, Preston teaches acid extraction in the pH range of 0 to 5.

Regarding claim 23, Preston teaches the extraction of Co and Ni and also teaches that a stripping step can be used (pg. 2, initial tests).

Regarding claims 24, 25, 26, and 28 Preston teaches acid extraction in the pH range of 0 to 5. Preston also teaches that it is known in the art to selectively extract nickel or cobalt (pg. 1, lines 50-57).

Claims 1, 2, 4-7, 14, 15, 16, 22, 23, 26, 27, 30, 31, and 36 are rejected under 35 U.S.C. 102(b) as being anticipated by Cheng (WO 02/22896). Cheng teaches a process for separating nickel and cobalt from other elements contained in an aqueous leach solution such as calcium, magnesium, and manganese which remain in the leach solution. Cheng teaches the use of a carboxylic acid, a synergist, and an oxime, particularly non-chelating oximes (pg. 7) with a hydroxy group attached.

Regarding claim 2, Cheng teaches the use of kerosene (pg. 8).

Regarding claims 4-6 and 22, Cheng teaches a scrubbing step and a selective stripping step (pg. 9).

Regarding claim 7, Cheng teaches the use of a synergist in the extraction process (pg. 4).

Regarding claims 14 and 15, Cheng teaches the extraction of nickel and cobalt and a synergist that increases the pH gap for other elements such as manganese and calcium. These ions will not be extracted in the organic phase (p. 4, lines 23-32; pg. 9, lines 11-15).

Regarding claim 16, Cheng teaches preliminary iron precipitation that is conducted to precipitate out iron to leave an aqueous leach solution containing the target elements (pg. 11).

Regarding claims 22, 30, and 31 Cheng teaches the extraction of nickel and cobalt and a selective stripping stage. Cheng also teaches nickel, cobalt, copper, and zinc in a solve extraction and a stripping of the organic solution. Cheng teaches the use of organophosphinic acid (pg. 17, lines 21-37).

Regarding claims 23, 26, and 27, Cheng teaches the extraction and selective stripping of cobalt and nickel from the loaded organic solution. The cobalt can be extracted in the organic phase and then precipitated (pg. 9-11).

Regarding claim 36, Cheng teaches the same process of present claims 1 and 36, therefore the product recovered in the process will be the same.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

Art Unit: 1793

having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 11-13 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Preston (GB 2,109,357) as in claims 1-4, 6-10, 18, 19, further in view of Davis (US 4,104,359). Davis teaches the separation and nickel and cobalt in an acid leach where hydroxylamine sulfate (anti-oxidant) is added to the organic solution of an oxime, sulfonic acid and kerosene (Example). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the process of Preston with the hydroxylamine of Davis because Davis teaches that the acidic components in the mixture can degrade the  $\alpha$ -hydroxyoximes but the hydroxylamine sulfate minimized the effects of degradation (Example 1; col. 1, lines 13-23; col. 2, lines 21-32).

Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cheng (WO 02/22896) as applied to claims 1, 2, 4-7, 14, 15, 16, 22, 23, 26, 27, 30 and 31 above. Further Cheng teaches the use of carboxylic acid in the extraction process where the carboxylic acid which contains any optionally substituted aliphatic or aromatic group, or combinations of these groups (pg. 5, lines 1-5). Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to use a 2-methyl, 2 ethyl heptanoic acid because Cheng teaches the application of a broad number of carboxylic acids to be used in a similar extraction process.

Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Preston (GB 2,109,357) as applied to claims 1-4; 6-10, 18, 19 above, and further it would have been obvious to one of ordinary skill in the art at the time of the invention to maintain the pH as high as 5.5 or

Art Unit: 1793

6 as this pH is close to 5 and still acidic. Also, it is easier to neutralize a solution when the solution only has a pH of 6.

Claims 29, 32, 33, 34 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cheng (WO 02/22896) as applied to claims 1, 2, 4-7, 14, 15, 16, 22, 23, 26, 27, 30 and 31 above, and further in view of Hummelstedt (US 4,120,817). Hummelstedt teaches the separation of nickel and cobalt with the use of an ion exchange reaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to use the ion exchange step of Hummelstedt with the copper separation of Cheng (pg. 18) because Hummelstedt uses the process to easily extract nickel with good pH control (col. 6, lines 47-68).

Regarding claim 32, Hummelstedt teaches nickel in an aqueous solution.

Regarding claim 33, Cheng teaches the recovery of cobalt in an aqueous leach solution from a selective stripping process where copper is removed (p. 15). Sulphuric acid may be used to strip the solution.

Regarding claim 34, Cheng teaches the use of organophosphinic acid and Hummelstedt teaches the recovery of nickel in an aqueous solution.

Regarding claim 35, Cheng teaches a scrubbing step. It would have been obvious to one of ordinary skill in the art to use the scrubbing step at each stage because scrubbing allows for selective stripping of ions (pg. 9).

### ***Response to Arguments***

Applicant's arguments filed 03-05-09 have been fully considered but they are not persuasive. Applicant argues that Preston does not anticipate claim 1, however, Preston teaches

that it is known in the art to use a phosphorus compound to enhance the utility of the extractants which in Preston are an oxime and a carboxylic acid (pg. 1, lines 5-10).

Additionally, applicant argues that Cheng does not anticipate the present claims; however, applicant's specification states that use of a singular noun also encompasses the plural. Therefore, applicant's claim 1 is not limited to only one step using the three reagents together. Cheng reads on the claim as written.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MELISSA STALDER whose telephone number is (571)270-5832. The examiner can normally be reached on Monday-Friday, 8:00-5:00pm.



If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Melvin Curtis Mayes can be reached on 571-272-1234. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MS

06-18-09

/Melvin Curtis Mayes/

Supervisory Patent Examiner, Art Unit 1793